

Compliance Guardian 3

Release Notes

Service Pack 2

Issued March 2013

New Features and Improvements

- Added a Quarantine Manager that can be specified as the body for an e-mail template. After adding this option, Compliance Guardian (CG) sends a corresponding e-mail with a URL linked to the Quarantine Manager in SharePoint (applies to SharePoint 2010).
- Added support to send different e-mail templates to different users who need to receive alerts.
- Individual permission control added for Real-Time Classification Scanner for Yammer, Yammer Report, and Human Auditor.
- SharePoint Group option added to the User Notification Alert type profile. This alert can be sent to users in specified SharePoint groups (applies to SharePoint 2010).
- License control now supported for AvePoint Yammer Connector Web part and SharePoint Online.
- Added support for Network Load Balancing.
- YammerConnector.wsp solution added to the Solution Manager.
- Classification Report Database upgrade tool added to allow updates of the classification report database data from previous versions of Compliance Guardian to Compliance Guardian 3 Service Pack (SP) 2.
- Yammer Report, AvePoint Yammer Connector Web part, and Real-Time Classification Scanner for Yammer functionalities added in SharePoint.
- Export Risk Formulas functionality added in the Risk Report, allowing users to export an XLSM file as a risk calculator to graphically display risk results.
- Human Auditor functionality with Auditing History added to the Compliance Report module.
- Quarantine, Encryption, and Redaction areas added to the Incident Manager module. Additionally, added three different permission levels and groups in Account Manager to control which users can access the Quarantine, Encryption, and Redaction areas of the Incident Manager.
- Added support to scan and classify content based on a file's content type ID in Classification Scanner (applies to SharePoint 2010/2013).
- Added support to use the smart card authentication to log into Compliance Guardian Manager and the Client Certification Authentication in Compliance Guardian Authentication Manager.
- Added support to scan the user profile when a personal site is not created in Scheduled Classification Scanner. You can load the User Profile Services node on the farm tree by changing the UserProfile Used="false" (change the value false to true) node in the ComplianceSetting.config file (applies to SharePoint 2013).
- Note Board notes in SharePoint supported to be scanned when you select the User Profile Service node for scanning in Classification Scanner and Compliance Scanner, Scheduled Classification Scanner, and Compliance Scanner.
- Added support to scan the user profile when a personal site is not created in Compliance Scanner. The User Profile Services node is loaded on the farm tree by changing the UserProfile Used="false" (change the value false to true) node in the ComplianceSetting.config file.
- SharePoint Sites related functionalities added to the Control Panel.

- Operator element added in test suite for compliance reporting and test suite for classification and tagging.
- Support of scanning social content added for the Newsfeed, notes in Note Board, and user profiles for SharePoint Online.
- CustomScan type check added to the Test Suite Manager.
- Related attributes added to the test suite for classification and tagging to allow calculations of scanned file risk scores in Classification Scanner jobs.
- Action Report functionalities added.
- Compliance Guardian Auditor added.
- Information Painter Report and Export All Reports to HTML added to the Compliance Report module.
- Redact functionalities added to support redacted content. Additionally, the Redaction type check added to the Test Suite Manager.
- Support added for scanning user profiles when personal sites are not created in Scheduled Classification Scanner or Compliance Scanner. The User Profile Services node is loaded on the farm tree by changing the UserProfile Used="false" (change the value false to true) node in the ComplianceSetting.config file.
- Support added for posts and replies in the SharePoint 2013 Newsfeed to be scanned in Real-Time Classification Scanner.
- Redaction related functionalities added in Action Policy including a Redaction type check and scan policy used to redact files.
- File system Modified property and Accessed property will be kept after Compliance Guardian adds the tag to the file system file (these properties will not be changed after the tag is added to the file).
- Added support to use SystemUpdate to keep the last modifier and last modified time after adding a tag to SharePoint.
- Version History functionality added in Test Suite Manager.
- Search functionality added in Scan Result.
- Parameter -CustomTDFPath added when installing Compliance Guardian Manager remotely. The value of this parameter is the path of the ZIP file that contains the custom checks. If you configure -CustomTDFPath after Compliance Guardian is installed, the custom checks will be loaded in Test Suite Manager.
- Added the capability to perform an unattended installation of Compliance Guardian.
- Hybrid functionality added in the test suite for compliance reporting. If this option is selected, Compliance Guardian scans the files with the supported file types. If the scanned files are the unsupported types in Compliance Guardian, iFilter will be used for the scan.
- Added support to customize pages and error messages that appear in SharePoint when a Real-Time Classification action is triggered.
- Detailed description of Compliance Scanner for Website added to GUI interface.
- MaxDistanceToPrimary attribute added in the ComplexFindText type and ComplexRegEx Type checks.

- Built-in Redaction and Yammer type test suite templates added.
- Added more information in the View Properties window of Incident Manager including Original Location, Data Source, Created Time, etc.
- SharePoint Group option added to User Notification Alert type profile. The alert can be sent to the users in specified SharePoint groups.
- Hybrid functionality added in the test suite for compliance reporting. If this option is selected, Compliance Guardian scans the files with the supported file types. If the files that will be scanned are the unsupported types in Compliance Guardian, IFilter will be used for the scan.

Known Issues

- If you add the AvePoint Yammer Connector Web part to a site collection and the site collection URL contains any spaces or special characters including [] ; ^, an error page appears after you select **Log In** to log in to Yammer interface through the Web part.
- If a full Compliance Scanner plan's status is Finished with Exception, the configured Report Retention Rule will not take effect.
- The following objects cannot be redacted on a SharePoint page: Video and Audio, App Part, Web Part, Picture, Uploaded Files, and The Alt information of a picture.
- If you insert an object in a Microsoft Office file and select the **Link to file** checkbox, the object name will have illegal characters according to a rule defined in test definition files (TDFs). Compliance Guardian will scan the object name, but not the object content. If you insert an object (with a supported object type) in a Microsoft Office file and uncheck the **Link to file** checkbox, then the object name will not be scanned, but the object content will be scanned (applies to SharePoint 2010 SP1).
- If you apply a Real-Time Classification Scanner rule to a library, the tag will be added to the file and SharePoint according to the scan policy in the rule. In SharePoint, if you select the library and select **New Document** on the ribbon, the tag cannot be added to the newly created document and cannot be added to SharePoint.
- If you scanned websites using CG 3 SP1 CU1, and then updated from CG 3 SP1 CU1 to CG 3 SP2, none of the data appears in the Information Painter report in CG 3 SP2. The report data does not appear because the Information Painter report functionality is a new feature in CG 3 SP2. As a workaround, run the job again in CG 3 SP2 (applies to SharePoint 2013).
- If you restore a file or item that has taken the Out of place quarantine action, the restored file or item's rating and SharePoint tags and notes will no longer exist after it is restored to SharePoint (applies to SharePoint 2013).
- In Compliance Scanner, if a file contains a URL and the port of the URL is not a number, then the URL cannot be recognized during the scan. The file will appear in the File Errors Report after the scan completes. The OpenXML exception causes this issue to occur (applies to SharePoint 2010 SP1).

- If a real-time classification rule contains an associated action policy and the action is based on a library column with a default value that will trigger the action, Compliance Guardian will execute the action even if the user overrides the default value when uploading with a value that should not trigger the action (applies to SharePoint 2010 SP1).
- If you update a previous version of Compliance Guardian to Compliance Guardian 3 SP2, the agent group `DEFAULT_AGENT_GROUP_FOR_SHAREPOINT_SITES` does not exist in the list of Agent Groups and the My Registered Sites node is not displayed on the tree in Compliance Scanner and Classification Scanner. Scanning SharePoint Online sites is a new function in Compliance Guardian 3 SP2, and the default agent group used to scan SharePoint Online and the My Registered Sites node are created when the Agent connects to the Manager. The Agent has already connected to the Manager before this update, so the agent group does not exist after the update. As a workaround, navigate to **Control Panel > Agent Monitor** and select the agent that is updated from the previous version of Compliance Guardian. Select **Configure** on the ribbon, and then add the Compliance Scanner for SharePoint Online type and Scheduled Classification Scanner for SharePoint Online type for the Agent in the **Configure** interface. Save the settings. Restart the agent in the **Agent Monitor** interface by selecting **Restart** on the ribbon.

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