

Compliance Guardian 3

Release Notes

Service Pack 1

Issued July 2013

New Features and Improvements

- Real-Time Classification Scanner for SharePoint now has better visual cues to indicate where real-time plans are defined and if child nodes are set to inherit plans from their parent.
- In all areas of the Compliance Guardian interface, test definition files (TDFs) are now referred to as checks and TDF collections are now referred to as test suites.
- Compliance Guardian Classification Scanner now logs all classification and action policy actions performed to the Report Database.
- Compliance Guardian's license control logic has been expanded to include file share and website scanners.
- In addition to Compliance Guardian's classic deep scan technology, test suites now support the option of using lighter, text-only scan leveraging installed iFilters which improve flexibility and greatly increase the file types that can be scanned.
- The FileProperty check type is now available for testing file properties such as size, content type, etc.
- Compliance Guardian's filter policies have been refined and there are new options for filtering file system and website content.
- Through use of the CustomCheck function, RegEx and Complex RegEx checks can now trigger external functions to further validate the True/False result of a check.
- The LinkValidation check type is now available for testing the validity of links or bookmarks within any content.
- Test suites for compliance scanning now include up to three definable variables for risk levels (Raw, Stepped, and Weighted).
- The ability to customize risk calculation formulas has been added to the Test Suite Manager.
- Error highlighting for JSP, PHP, PDF, DOC, DOCX, XLS, XLSX, PPT, and PPTX files is now available in the Scanned Files Report, allowing for visibility of where Compliance Guardian found conditions in the content that violate the defined test suites.
- The Scanned Files Report now displays the **Policy URL** hyperlink for each check performed in the test suites run against each file.
- In the Compliance Dashboard, users can now set a desired group of scan policies to display by default.
- Compliance Guardian SharePoint Scanner now supports scanning SharePoint 2013 farms in addition to SharePoint 2010 and 2007.
- Compliance Guardian now supports compliance scans of all websites and Web applications, regardless of the system that creates or maintains them using the new Website Scanner.
- Compliance Guardian now supports compliance scans and scheduled classification scans of any Windows file share addressable through a UNC path using the new File Share Scanner.
- Compliance Guardian's test suites now contain a version label.
- Compliance Guardian Risk Report now allows for sorting and filtering for risk priority based on new risk calculations.
- Section 508 and WCAG 2.0 (Beta) test suites have been updated in Compliance Guardian.

- More detailed test reports can be exported when using the Test Suite Validator tool.
- The logic of the **Move** action has been enhanced to allow content to be moved as a result of an action policy even if the destination SharePoint list does not have the same settings and metadata as the source list.
- Added a top navigation bar in the Compliance Guardian interface.
- Added the **ResultNA** attribute in the ComplexFindText and ComplexRegex checks.
- Added the **Export** function in scan policies to export a check's detailed information into a CSV file.
- Compliance Guardian Classification scans now have enhanced support for SharePoint 2010 and SharePoint 2013 Managed Metadata columns.
- Context sensitive help is available across the Compliance Guardian interface by pressing **F1** on your keyboard.
- Classification Scanner now has information about the location of where a file has been moved in Job Monitor and also provides alert e-mails.

Known Issues

- When scanning file versions, incremental scan jobs will not just scan incremental versions, they will scan all file versions as if they were full scan jobs.
- Incremental scan jobs cannot scan attachments to an item due to a limitation in the SharePoint API.
- In Japanese environments, dates in a Microsoft Excel file cannot be scanned. As a workaround, users can change the date format of the machine where the Compliance Guardian Agent resides to YYYY-MM-DD.
- In Japanese environments, if a user runs a Compliance Guardian Scanner job, a test suite with a Japanese name is included in the job. After the job completes, the exported scan result report does not contain any data.
- In the exported Risk Report of Compliance Scanner for Websites, there are no values in the **Created By** column and the **Last Modified** column.
- If you install the Compliance Guardian 3 SP1 package on a machine with Windows Server 2012 or Windows Server 2008 installed, an error page may appear, and the Compliance Guardian Manager will not open. As a workaround, navigate to **IIS Manager > Application Pools**, open **ContentCompliance**, and then select **Advanced Settings**. In the Advanced Settings interface, change the .NET Framework version to 4.0.
- In Compliance Scanner for Websites, if there are multiple concurrent threads for crawling webpages, some errors may occur during the crawling job for the scan if the Web server cannot accept multiple connections. The following error message will appear: **Unable to read data from the transport connection: An existing connection was forcibly closed by the remote host**. The issue occurs because we send multiple requests to the server, but the server cannot respond to the requests.

- The source code of an ASPX file downloaded from SharePoint is different from that in the corresponding Error Highlight Report. The source code of the ASPX file is parsed by IIS and then sent to the client, so it is not the same as the code downloaded from SharePoint.
- In Compliance Scanner for File System and Scheduled Classification Scanner for File System, you can define a filter policy with a condition that looks at the connection name, but that condition will be ignored in the filter policy. The connection name will not be filtered out by the filter policy; it is not affected by the filter policy.
- If you apply a Real-Time Classification Scanner rule to a library, the tag will be added to the file and SharePoint according to the scan policy in the rule. In SharePoint, if you select the library and select **New Document** on the ribbon, the tag cannot be added to the newly created document and cannot be added to SharePoint.
- If you enter an HTML file's Error Highlight Report page after scanning the file, a message appears to prompt the user that the report is not accurate. If the user uses the SSL type check, this issue will appear.
- If you add some new links into the corresponding webpage of a start URL after running a full Compliance Scanner for Website job, configure the **Reference Time** and run an incremental job, the links that are not in the time range of the specified **Reference Time** are scanned in the incremental job.
- When there are a large number of violations (more than 3500) in a file, after entering the corresponding Error Highlight Report page, the page does not respond.
- When you upgrade Compliance Guardian 3 CU1 to 3 SP1, the corresponding test suites are not displayed in the Risk Report for the files from Compliance Guardian 3 CU1. The function of displaying the file's test suite in the Risk Report is a new feature in 3 SP1, so the data from 3 CU1 does not have the corresponding test suite information.
- The incremental Scheduled Classification Scanner job will scan the files that were tagged by the previous job even if they have not been edited by any other process.
- If the user selects **Certificate** as the **Authentication** method to run a Compliance Scanner for Website job, the agent account should be the current login user, or the agent account should be a member of the local **Administrators** group of the server where the Compliance Guardian agent is installed.

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