



# DocAve<sup>®</sup>

# Governance Automation

# Service Pack 4

## Release Notes

For Microsoft<sup>®</sup> SharePoint<sup>®</sup>

Released December 23, 2013

# Governance Automation SP4 Update Details

Refer to the **Updating Governance Automation** section of the [DocAve Governance Automation User Guide for Administrators](#) for instructions on updating your Governance Automation instance.

The following table provides important update details specific to Governance Automation SP4.

<b>Required Minimum Version for Direct Update</b>	Governance Automation SP3 is required to directly update to Governance Automation SP4.
<b>Supported SharePoint Versions</b>	SharePoint 2013 Online or On-Premises SharePoint 2010 Online or On-Premises
<b>Dependencies on Other AvePoint Products</b>	For a compatibility matrix of the supported platform versions, refer to the AvePoint KB article <a href="#">Governance Automation, DocAve, SharePoint, and SnapManager for SharePoint Support Matrix</a> .
<b>New License Required?</b>	No
<b>IIS Reset Required?</b>	No
<b>Reboot Manager Server Required?</b>	No
<b>Reboot Agent Servers Required?</b>	No
<b>How to Verify a Successful Update</b>	To verify your Governance Automation update, navigate to <b>DocAve Control Panel &gt; Update Manager &gt; View History</b> , select the Governance Automation-related object, and check whether the update installed successfully in each required Manager and Agent.

## New Features and Enhancements

### Enhancements to Service Requests

- Added Nintex Workflow support for service request approval.
- Added a permission recertification option on the site collection policy that enables site collection contacts or other relevant business users to review user or group access to content within the site collection on a scheduled basis. The reviewer can modify the permissions directly on the report, and the permission changes will be automatically updated to SharePoint.
- Improved the approval process to allow for approvers to edit the request.
- Approval processes can now be applied across multiple service types.
- Added the ability to automatically launch a service request “in context” from a site in SharePoint.
- IT Admins can now delete a service request via the **All Tasks** interface.
- Added terms and conditions to the Site Collection Lifecycle Management task so that business users can formally acknowledge terms and conditions when extending their lease or taking on ownership of site collections through the Change Site Collection Contact service request.

- In the **Settings > Questionnaire Management** interface, added support for configuring questionnaires intended to guide requesters to the appropriate request forms.
- All applicable services now support security trimming. On the request form, requesters can only see tree nodes or enter URLs that they have permission to access.

## Enhancements to Existing Reports and Services

- All applicable services support SharePoint Online standard and SharePoint Online dedicated instances.
- In the **Create Site service** interface, added the **Inherit parent site metadata value option**, which causes a newly created site based on this service to inherit its parent site's metadata value.
- All services now support Governance Automation metadata created in the **Settings > Metadata** interface.
- Added a temporary permissions setting in the **Grant Permissions** service to allow for granting of temporary permissions.
- Enhancement to the Content Move Service: Added the options **Include completed instances** and **Include running instance** in the **Workflow** section.
- In each service, added the **Schedule Date** section for configuring a schedule date to execute the service's corresponding request.
- In the **Create Library/List** service, added the **Bulk Mode** section to create multiple libraries/lists in one service request.
- Governance Automation now preserves the report location when the user closes the permission recertification task window and opens it again.
- In a service's **Approve Process** interface, the user can notify the requester when the service request is edited if **Allow all approvers to edit this service request** is selected.

## Enhancements to Managing the Platform

- Added support for retrieving and submitting all service requests via Governance Automation Web API.
- In the DocAve Configurations interface of a site collection policy, added the **Enable Policy Enforcer** option to apply DocAve Policy Enforcer rules to site collections.
- Scheduled scans of site collections or sites that exist in SharePoint but that are not managed by Governance Automation can be configured in the **Export Mode** of the **Import Existing Site Collections and Sites** interface.
- Added the ability to manage O365 sites.
- In the **Create Site Service** interface, added support for selecting multiple Web applications on the Scope tree.

- Added the ability to retrieve SharePoint Online sites that are registered in **DocAve > Control Panel > SharePoint Sites** for environments already configured to manage hybrid deployments.
- In the **Settings > Business User Features Activation or Deactivation** interface, added role-based access controls, which define which sections in the landing page are visible to business users.
- In the **Settings > Export and Import Configuration Data** interface, added the ability to manage Governance Automation configuration data (global settings, approval process, policies, Create Site Collection services, and Create Site services) between different environments.
- **My Sites** now display a list of the site collections and sites of which the logged-in user is the primary contact or secondary contact.
- In the **Configure Personal Settings** interface, added support for configuring the Governance Automation Interface time zone.
- In the **Manage Site Collection Lifecycle** interface of a site collection policy, added the **Enable automated locking and deletion** option, which when enabled, locks and deletes the site collection according to user-configured rules.
- In the **DocAve Configurations** interface of a site collection policy, added the **Activate File Share Navigator Feature** option to enable Governance Automation-managed site collections to host links to file share content.
- When logging into Governance Automation, incorrectly entering a password 5 consecutive times results in the account being locked for 15 minutes.

## Enhancements to the User Interface

- Added a **Hide the Governance Automation menu bar** option in the Governance Automation Web Part **Settings** interface; this feature hides the Governance Automation menu bar in the Web Part interface.
- Improved user interface responsiveness such that the number of tiles displayed on the home page dynamically changes to fill available space.
- Added a pin button on the menu bar, which docks the menu bar.
- Improved the request status display so that it is easier to view different statuses with distinct icons.
- Improved the installation interface by adding links to Governance Automation resources.
- In the Site Collection Report and Public Site Collection Directory interfaces, added **Quota Template** and **Quota Size (GB)** columns.
- In the **Create Service** interface, added an information icon to show a description of the service type.

## Known Issues

- AvePoint does not support Governance Automation updates if Governance Automation is registered to multiple DocAve Managers (multiple separate installs of DocAve for managing different environments). If the **DocAve\_Update\_for\_Governance\_Automation\_1.x** update does not install successfully, refer to the following two workarounds.

**Workaround 1:** Re-apply the update. Navigate to **DocAve Manager > Control Panel > Update Manager**, and reinstall the **DocAve\_Update\_for\_Governance\_Automation\_1.x** update.

**Workaround 2:** Apply the update manually.

1. Extract the **DocAve\_Update\_for\_Governance\_Automation\_1.x.zip** file.
  2. In the **DocAve\_Update\_for\_Governance\_Automation\_1.x** folder, extract the **AgentPatch.zip** file and the **ControlPath.zip** file.
  3. In the node where DocAve Agent service is installed, navigate to *...AvePoint\DocAve6\Agent\bin\GovernanceAutomation* and copy the files in the **AgentPatch** folder to the **GovernanceAutomation** folder.
  4. In the node where DocAve Control Service is installed, navigate to *...AvePoint\DocAve6\Manager\Control\bin\GovernanceAutomation* and copy the files in the **ControlPath** folder to the **GovernanceAutomation** folder.
  5. In the node where DocAve is installed, check the status of DocAve Control Service, DocAve Agent Service, and the related application pool. Make sure that they are all started and DocAve Manager can be accessed.
  6. In the node where Governance Automation is installed, check the status of Governance Automation Timer Service, Governance Automation Workflow Service, and the related application pool. Make sure that they are all started.
- The Questionnaire feature is not supported for use with Internet Explorer 8.
  - Importing or exporting site collections with multiple language packs or site collections with multiple site templates in different languages may result in errors in the destination. Be sure that your source and destination have the same language packs installed.
  - Governance Automation installations will fail if the DocAve Timer Service is not running during the installation.
  - If security trimming is turned on in the Content Move service, and the requester has permissions to access the source site but not the lists within the source site, then the source lists are copied to the destination node upon the completion of the request. To apply security checks down to the item level will cause performance issues.
- Workaround:** Select **Copy security** in the Content Move service. This way, the source user permissions will be copied to the destination and the requester will not have permissions to access the child node in the destination.
- Alternate Access Mapping (AAM) URLs are invalid in a site collection's Content Move service request due to the fact that SharePoint Online dedicated instances cannot retrieve the

username and password of the AAM URL.

**Workaround:** Register the AAM URL of the site collection in DocAve before submitting the request.

- When requesting libraries/lists in bulk, if the template names are entered in Japanese in the CSV file and then imported, the imported CSV details in Governance Automation interface will not display the imported data. This is because the CSV file is encoded using ANSI.

**Workaround:** Open the CSV file with Notepad and save it with the Unicode encoding type.

- When requesting to unlock a site collection, the URL validation is limited to the URL in the Site Collection Report and the Public Site Collection Directory. This is due to a limitation of SharePoint API where if a site collection is locked, some of its properties cannot be retrieved.
- When accessing the Governance Automation Web part using Internet Explorer, users may encounter errors. To resolve this, navigate to **Internet Options > Security tab > Trusted Sites**, and add the URL for Governance Automation to the trusted sites without the port number (for example: https://10.2.4.43).

# Notices and Copyright Information

## Notice

The materials contained in this publication are owned or provided by AvePoint, Inc. and are the property of AvePoint or its licensors, and are protected by copyright, trademark and other intellectual property laws. No trademark or copyright notice in this publication may be removed or altered in any way.

## Copyright

Copyright © 2012-2013 AvePoint, Inc. All rights reserved. All materials contained in this publication are protected by United States copyright law and no part of this publication may be reproduced, modified, displayed, stored in a retrieval system, or transmitted in any form or by any means, electronic, mechanical, photocopying, recording or otherwise, without the prior written consent of AvePoint, 3 Second Street, Jersey City, NJ 07311, USA or, in the case of materials in this publication owned by third parties, without such third party's consent.

## Trademarks

AvePoint<sup>®</sup>, DocAve<sup>®</sup>, the AvePoint logo, and the AvePoint Pyramid logo are registered trademarks of AvePoint, Inc. with the United States Patent and Trademark Office. These registered trademarks, along with all other trademarks of AvePoint used in this publication are the exclusive property of AvePoint and may not be used without prior written consent.

Microsoft, MS-DOS, Internet Explorer, Microsoft Office SharePoint Servers 2007/2010/2013, SharePoint Portal Server 2003, Windows SharePoint Services, Windows SQL server, and Windows are either registered trademarks or trademarks of Microsoft Corporation.

Adobe Acrobat and Acrobat Reader are trademarks of Adobe Systems, Inc.

All other trademarks contained in this publication are the property of their respective owners and may not be used such party's consent.

## Changes

The material in this publication is for information purposes only and is subject to change without notice. While reasonable efforts have been made in the preparation of this publication to ensure its accuracy, AvePoint makes no representation or warranty, expressed or implied, as to its completeness, accuracy, or suitability, and assumes no liability resulting from errors or omissions in this publication or from the use of the information contained herein. AvePoint reserves the right to make changes in the Graphical User Interface of the AvePoint software without reservation and without notification to its users.

AvePoint, Inc.  
3 Second Street  
Jersey City, NJ 07311  
USA